



## **SUPPLIER CERTIFICATION OF COMPLIANCE WITH CALIFORNIA PROPOSITION 65**

California Proposition 65 (officially titled the Safe Drinking Water and Toxic Enforcement Act of 1986, codified at Cal. Health & Saf. Code §§ 25249.5–.13) is a California law that regulates the use of toxic and carcinogenic substances. Under the law, the State of California maintains a list of regulated substances, and if any consumer product contains a listed substance at regulated levels, the product must bear a warning to that effect. The list is updated several times per year. More information about Proposition 65, including the list of regulated substances, can be found on the California Office of Environmental Health Hazard Assessment’s website at:

- <http://oehha.ca.gov/prop65.html>

Please review the latest version of the list and determine which of the following applies to the materials or products you supply to Rockline Industries, and then sign at the bottom.

## **SUPPLIER CERTIFICATION OF COMPLIANCE WITH THE LACEY ACT**

The Lacey Act of 1900 (codified at 16 U.S.C. §§ 3371–78) is a federal law in the United States that generally prohibits any transaction involving plants or wildlife that were taken or harvested illegally. The Lacey Act is the United States’ oldest conservation law, but it remains in effect today. In 2008, significant amendments were passed that expanded the scope of the Lacey Act’s protections.

Under the law, no person may import, export, transport, sell, receive, acquire, or purchase any plant that was harvested illegally. Furthermore, the Lacey Act now defines “plant” to include not just plants themselves but also any products made from plants, such as wood pulp or wood fibers.

More information about the Lacey Act, including the full text of the law in its amended form, can be found on the website for the USDA’s Animal and Plant Health Inspection Service, at:

- [http://www.aphis.usda.gov/plant\\_health/lacey\\_act/](http://www.aphis.usda.gov/plant_health/lacey_act/)

Please review the latest version of the law, and if the materials or products you supply to Rockline Industries are compliant, please sign at the bottom.

## **SUPPLIER CERTIFICATION OF COMPLIANCE WITH CALIFORNIA TOXICS IN PACKAGING PREVENTION (TIPP) ACT**

The California Toxics in Packaging Prevention Act (codified at Cal. Health & Saf. Code §§ 25214.11–.26) is a California law that prohibits the use of certain heavy metals in packaging materials.

Under the law, manufacturers may not offer products for sale if the packaging contains a regulated metal that has been intentionally introduced into the packaging material. Additionally, the total incidental presence of regulated metals may not exceed 100 parts per million by weight. The regulated metals are lead, mercury, cadmium, and hexavalent chromium. More information about the Toxics in Packaging Prevention Act, including the full text of the law, can be found on the California Department of Toxic Substances Control’s website at:

- <http://www.dtsc.ca.gov/toxicsinpackaging/TIPLaw.cfm>

Please review the latest version of the law, and if the packaging materials you supply to Rockline Industries are compliant, please sign at the bottom.

### **SUPPLIER CERTIFICATION OF COMPLIANCE WITH THE U.S. CONFLICT MINERALS LAW (DODD-FRANK ACT)**

US Conflict Minerals Law (Securities and Exchange Commission, 17 CFR Parts 240 and 249b, Release No. 34-67716; File No. S7-40-10, RIN 3235-AK84) is a new form and rule pursuant to Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act relating to the use of conflict minerals. Section 1502 added Section 13(p) to the Securities and Exchange Act of 1934, which requires the Commission to promulgate rules requiring issuers with conflict minerals that are necessary to the functionality or production of a product manufactured by such person to disclose annually whether any of those minerals originated in the Democratic Republic of the Congo or an adjoining country.

More information about the US Conflict Minerals Law can be found on the Securities and Exchange Commission's website at:

[www.sec.gov/rules/final/2012/34-67716.pdf](http://www.sec.gov/rules/final/2012/34-67716.pdf)

Please review the latest version of the list and determine which of the following applies to the materials or products you supply to Rockline Industries, and then sign at the bottom.

### **HEAVY METALS AND THE COALITION OF NORTHEASTERN GOVERNORS (CONEG) MODEL LEGISLATION**

Comply with the following regulations governing heavy metals content in the manufactured packaging articles and packaging components:

- Coalition of Northeastern Governors (CONEG) Model Legislation
- European Union Directive 94/62/EC
- California Toxics in Packaging Prevention Act of 2007

Comply by precluding the usage of any incoming raw material that fails to comply with the following criteria concerning heavy metals:

- Lead, Cadmium, Mercury, and Hexavalent Chromium are not intentionally added as ingredients or used in the manufacturing process
- The total incidental level of Lead, Cadmium, Mercury, and Hexavalent Chromium in the finished packaging product is below 100 ppm (combined weight rather than individual weight).

Please review this list, and if the materials or products you supply to Rockline Industries are compliant, please sign at the bottom.

- NO SUBSTANCES** on the California Proposition 65 list (as of the date below) have been knowingly or intentionally added to any materials supplied to Rockline Industries.
- SOME SUBSTANCES** on the California Proposition 65 list (as of the date below) have been knowingly or intentionally added to materials supplied to Rockline Industries. **Please supply documentation explaining the substances used and the concentration of those substances.**
- ALL MATERIALS** that our company supplies to Rockline Industries comply with the requirements of the Lacey Act.
- THERE ARE NO REGULATED METALS** that have been intentionally introduced into the packaging materials supplied to Rockline Industries. **Additionally**, the sum of all incidental regulated metals in the materials (if any) is below 100 parts per million by weight. (TIPP)
- THERE ARE NO CONFLICT MINERALS** that have been intentionally introduced into the materials supplied to Rockline Industries (as of the date below).(Dodd-Frank Act)
- THERE ARE NO MATERIALS** that are in violation of the Coalition of Northeastern Governors (CONEG) Model Legislation, the European Union Directive 94/62/EC, and the California Toxics in Packaging Prevention Act of 2007, and follow the additional criteria regarding heavy metals.
- UNABLE TO COMPLY WITH** \_\_\_\_\_  
Please supply documentation explaining the substances used and the concentration of those substances.

**SUPPLIER NAME AND ADDRESS**

\_\_\_\_\_

**CONTACT NAME AND POSITION**

\_\_\_\_\_

**CONTACT PHONE** \_\_\_\_\_ **EMAIL** \_\_\_\_\_

**SIGNATURE** \_\_\_\_\_

**DATE** \_\_\_\_\_